

INTERNAL AUDIT SUMMARY REPORT

SYSTEMS AUDIT – 21st CENTURY SCHOOLS PROGRAMME

1. INTRODUCTION

- 1.1. This audit was carried out in line with the Internal Audit Plan 2019/20, and in full consultation with operational staff and management.
- 1.2. The scope of the audit was to determine the effectiveness of the internal controls within the planning, monitoring and evaluation of the Authority's 21st Century Schools Programme and the Projects contained therein.
- 1.3. The audit was conducted by the Senior Auditor and took the form of a series of detailed tests and checks, together with discussions with operational staff and managers.

2. SUMMARY OF FINDINGS

- 2.1. Two weaknesses were identified, both of which were classified as Medium Risk. The weaknesses were:
 - It was not possible to determine the date that the Strategic Outline Programme was submitted to Welsh Government (WG), and therefore it was not possible to determine compliance with the applicable timescales.
Agreed action – Evidence of submissions to WG will be retained and stored centrally where applicable.
 - It was not evident that the business case documentation obtained during the audit had been signed by senior management to certify approval prior to submission.
Agreed action – Evidence of submissions to WG will be retained and stored centrally where applicable.

3. CONCLUSION

- 3.1. After reviewing all documentation and the current system, it was found that the internal controls used within the 21st Century Schools Programme appear to be operating effectively.
- 3.2. The Head of Education Transformation has agreed to mitigating actions for each of the weaknesses identified.

4. INTERNAL CONTROL STANDARD GRADING

- 4.1. In accordance with the standard gradings, the systems examined indicate that internal controls within the 21st Century Schools Programme appear to be operating effectively. The grading is therefore assessed as **'Reasonable Assurance'**.